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# Eigenvalues of Pseudo-Laplacians and Compact Periods of Eisenstein Series

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## History

**Hilbert-Polya**: nontrivial zeros  $\rho$  of  $\zeta(s) \stackrel{?}{\Rightarrow}$  eigenvalues  $\lambda_{\rho} = \rho(\rho - 1)$  of a self-adjoint operator  $\stackrel{\text{would}}{\Rightarrow} \sim \text{RH}$ 

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Further Applications **Hilbert-Polya**: nontrivial zeros  $\rho$  of  $\zeta(s) \stackrel{?}{\Rightarrow}$  eigenvalues  $\lambda_{\rho} = \rho(\rho - 1)$  of a self-adjoint operator  $\stackrel{\text{would}}{\Rightarrow} \sim \text{RH}$ 

- Haas, 1977: zeros of  $\zeta$  among s-values for purported eigenvalues  $\lambda_s = s(s-1)$  of  $\Delta$  on  $SL_2(\mathbb{Z}) \setminus \mathfrak{H}$
- RH within reach?!

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Further Application **Hilbert-Polya**: nontrivial zeros  $\rho$  of  $\zeta(s) \stackrel{?}{\Leftrightarrow}$  eigenvalues  $\lambda_{\rho} = \rho(\rho - 1)$  of a self-adjoint operator  $\stackrel{\text{would}}{\Rightarrow} \sim \text{RH}$ 

- Haas, 1977: zeros of  $\zeta$  among s-values for purported eigenvalues  $\lambda_s = s(s-1)$  of  $\Delta$  on  $SL_2(\mathbb{Z}) \setminus \mathfrak{H}$
- RH within reach?!
- Hejhal: Haas' methods flawed
- Hejhal (1981), Colin de Verdière (1981, 1983)
- Garrett, Bombieri (current)
- D (current)

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# Pseudo-Laplacians permit non-smoothness in eigenfunctions

Let 
$$X = SL_2(\mathbb{Z}) \setminus \mathfrak{H}$$
,  $\Delta = y^2 (\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2})$ .

- Eigenfunctions for  $\Delta$  must be smooth.
- Eigenfunctions for pseudo-Laplacians not nec. smooth.
  - CdV: mero. cont. of Eis. series
  - $\Delta_{\alpha}$  on Lax-Phillips space
  - Truncations  $\wedge^{\alpha}E_{s}$  with  $c_{P}(E_{s})(ia) = a^{s} + c_{s}a^{1-s} = 0$ ,  $c_{s} = \xi(2s-1)/\xi(2s)$ , are eigenfunctions.

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# Pseudo-Laplacians permit non-smoothness in eigenfunctions

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  - Truncations  $\wedge^{\alpha}E_s$  with  $c_P(E_s)(ia) = a^s + c_sa^{1-s} = 0$ ,  $c_s = \xi(2s-1)/\xi(2s)$ , are eigenfunctions.
- Construct  $\Delta_{\omega}$  to overlook non-smoothness at corner  $\omega = e^{2\pi i/6}$  of fundamental domain.
  - Legitimize Haas' purported eigenvalues?

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# Legitimize Haas' Eigenvalues?

### With

- +  $\delta=\delta^{\text{afc}}_{\omega}$  , automorphic Dirac delta distribution at  $\omega,$
- $\Delta_{\omega}$ , restriction of  $\Delta$  to  $C^{\infty}_{c}(X) \cap \ker(\delta)$ ,
- $\widetilde{\Delta}_{\omega}$ , Friedrichs extension of  $\Delta_{\omega}$  (canonical, self-adjoint),

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# Legitimize Haas' Eigenvalues?

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- $\widetilde{\Delta}_{\omega}$ , Friedrichs extension of  $\Delta_{\omega}$  (canonical, self-adjoint), Then, for u in the domain of  $\widetilde{\Delta}_{\omega}$ ,

$$(\widetilde{\Delta}_{\omega} - \lambda)\mathfrak{u} = 0 \quad \Longleftrightarrow \quad (\Delta - \lambda)\mathfrak{u} = \text{ const.} \cdot \delta$$

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# Legitimize Haas' Eigenvalues?

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$$(\widetilde{\Delta}_{\omega} - \lambda)\mathfrak{u} = 0 \iff (\Delta - \lambda)\mathfrak{u} = \text{const.} \cdot \delta$$

Using global automorphic Sobolev theory:

- Construct solutions to distributional differential equation using automorphic spectral expansions.
- But no solution will lie in the domain of  $\widetilde{\Delta}_{\omega}$ .
- Discrete spectrum of  $\widetilde{\Delta}_{\omega}$  is **empty**.

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## Solutions are not Eigenfunctions

Global Automorphic Sobolev Spaces:

- For  $\ell \ge 0$ ,  $H^{\ell}(X)$  is the closure of  $C_c^{\infty}(X)$  with respect to the topology induced by  $\langle f, g \rangle_{\ell} = \langle (1-\Delta)^{\ell} f, g \rangle_{L^2}$ .
- $H^{-\ell}(X)$  is the Hilbert space dual of  $H^{\ell}(X)$ .
- $H^{\ell}(X) \subset H^{\ell-1}(X)$  and  $(1-\Delta): H^{\ell}(X) \xrightarrow{\sim} H^{\ell-2}(X)$

Dirac delta distribution:  $\delta \in H^{\ell}(X)$  only for  $\ell < -1$ . Thus, solutions of  $(\Delta - \lambda)u = \delta$  lie in  $H^{\ell}(X)$  only for  $\ell < 1$ .

An unbounded operator on a Hilbert space  $\mathcal{H}$  is a linear map from a subspace (the **domain** of the operator) to  $\mathcal{H}$ .

- Restrictions of  $\Delta$ , e.g.  $\Delta_{\omega}$ , unbdd ops on  $L^2(X)$ .
- By Friedrichs' construction,  $Dom(\widetilde{\Delta}_{\omega}) \subset H^1(X)$ .

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### Bombieri-Garrett Theorems

• CdV's suggestion: replace  $\delta$  by  $\theta$ , a projection of  $\delta$  to the non-cuspidal part of the automorphic spectrum.

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## Bombieri-Garrett Theorems

- CdV's suggestion: replace  $\delta$  by  $\theta$ , a projection of  $\delta$  to the non-cuspidal part of the automorphic spectrum.
- Bombieri-Garrett
  - 1 Existence of  $\widetilde{\Delta}_{\theta}$ -eigenvalue  $\lambda_w = w(w-1)$  with  $\operatorname{Re}(w) = \frac{1}{2}$  does imply vanishing of zeta function at w.

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## Bombieri-Garrett Theorems

- CdV's suggestion: replace  $\delta$  by  $\theta$ , a projection of  $\delta$  to the non-cuspidal part of the automorphic spectrum.
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  - 1 Existence of  $\widetilde{\Delta}_{\theta}$ -eigenvalue  $\lambda_w = w(w-1)$  with  $\operatorname{Re}(w) = \frac{1}{2}$  does imply vanishing of zeta function at w.
  - 2 Interlacing of  $\widetilde{\Delta}_{\theta}\text{-eigenvalues}$  with zeros of constant term at fixed height.

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## Automorphic Spectral Expansions

Analogue of Fourier inversion: automorphic spectral expansion in terms of eigenfunctions for Laplacian.

Example: 
$$SL_2(\mathbb{Z})\setminus\mathfrak{H}, \ \Delta = y^2(\frac{d^2}{dx^2} + \frac{d^2}{dy^2})$$
  
 $v \stackrel{\text{Sob}}{=} \sum_{F} \langle v, F \rangle \cdot F + \langle v, \Phi_0 \rangle \cdot \Phi_0 + \frac{1}{4\pi i} \int_{\frac{1}{2} + i\mathbb{R}} \langle v, E_s \rangle \cdot E_s \ ds$ 

- F ranges over an orthonormal basis of cusp forms
- $\Phi_0$  is the constant automorphic form with unit  $L^2\mbox{-norm}$
- $E_s$  is the real analytic Eisenstein series

Can view such formulas as "pre-trace formulas."

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Vanishing Theorem

# $\Theta = \sum_{F} \Theta(\overline{F}) \cdot F + \Theta(\overline{\Phi}_{0}) \cdot \Phi_{0} + \frac{1}{4\pi i} \int_{\frac{1}{2} + i\mathbb{R}} \Theta(E_{1-s}) \cdot E_{s} \, ds$

Constructing the Pseudo-Laplacian

Let  $\Theta$  be a compactly supported distribution on  $X = SL_2(\mathbb{Z}) \setminus \mathfrak{H}$ .

Following Colin de Verdiere (1983),

$$\theta = \operatorname{Proj}_{\operatorname{nc}}\Theta = \Theta(\overline{\Phi}_0) \cdot \Phi_0 + \frac{1}{4\pi i} \int_{\frac{1}{2} + i\mathbb{R}} \Theta(\mathsf{E}_{1-s}) \cdot \mathsf{E}_s \, \mathrm{d}s$$

Restrict  $\Delta$  to  $L^2_{nc}(X) \cap C^{\infty}_c(X) \cap \ker(\theta)$ , and let  $\widetilde{\Delta}_{\theta}$  be its Friedrichs extension. Then, for  $\mathfrak{u}$  in the domain of  $\widetilde{\Delta}_{\theta}$ ,

$$(\widetilde{\Delta}_{\theta} - \lambda_{w})u = 0 \quad \iff \quad (\Delta - \lambda_{w})u = (\text{const}) \cdot \theta$$

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## Vanishing of Periods

### Theorem (Bombieri-Garrett)

Let  $\theta$ ,  $\Delta_{\theta}$ , and  $\overline{\Delta}_{\theta}$  be as above. Suppose  $\theta$  lies in  $H^{-1}(X)$  and  $\theta$  is real, in the sense that  $\theta(\overline{\phi}) = \overline{\theta(\phi)}$  for all  $\phi \in C_c^{\infty}(X)$ . Then the compact period  $\theta E_w$  vanishes when  $\lambda_w = w(w-1)$  is an eigenvalue for  $\widetilde{\Delta}_{\theta}$  with  $\operatorname{Re}(w) = \frac{1}{2}$ .

### Note

Hardy-Littlewood 1918  $\Rightarrow \theta = \text{Proj}_{nc} \delta_{\omega}^{afc}$  satisfies the hypotheses. Here:  $\theta E_s = \zeta_{\mathbb{Q}(\omega)}(s)/\zeta(2s) = \zeta(s)L(s,\chi)/\zeta(2s)$ .

### Corollary

Let  $\theta = \operatorname{Proj}_{\mathsf{nc}} \delta^{\mathsf{afc}}_{\omega}$ . If  $\lambda_w = w(w-1)$  is an eigenvalue for  $\widetilde{\Delta}_{\theta}$  with  $\operatorname{Re}(w) = \frac{1}{2}$ , then  $\zeta_{\mathbb{Q}(\omega)}(w) = 0$ .

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# How Many Zeros?

With 
$$\lambda_w = w(w-1)$$
 an eigenvalue for  $\widetilde{\Delta}_{\theta}$ .

Have shown:

• w's on the critical line  $\subset$  zeros of zeta function Hope: w's account for

- all zeros of zeta? (No: Epstein zetas.)
- many zeros of zeta? (Not clear.)

If Montgomery's Pair Correlation Conjecture is true,

- at most a **positive fraction** of zeros of zeta,
- because they interlace with zeros of  $c_P(\mathsf{E}_s)(\mathfrak{i}\mathfrak{a})=\mathfrak{a}^s+c_s\mathfrak{a}^{1-s} \text{ on the critical line.}$

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## Lax-Phillips Discretization

Lax-Phillips space: for 
$$\alpha\gg 1$$

 $L^2(X)_{\mathfrak{a}} \hspace{.1 in} = \hspace{.1 in} \{ f \in L^2(X) \hspace{.1 in} : \hspace{.1 in} c_P \hspace{.1 in} f(z) = 0 \hspace{.1 in} \text{when} \hspace{.1 in} \text{Im}(z) \geqslant \mathfrak{a} \}$ 

Let  $\Delta_{\mathfrak{a}} = \Delta|_{L^2(X)_{\mathfrak{a}} \cap C^{\infty}_{\mathfrak{c}}(X)}$  and  $\widetilde{\Delta}_{\mathfrak{a}}$  its Friedrichs extension.

### $L^2(X)_{\alpha}$ decomposes discretely:

- Orthogonal basis of  $\widetilde{\Delta}_{\alpha}$ -eigenfunctions:
  - cuspforms
  - truncated Eisenstein series  $\wedge^{\alpha}\mathsf{E}_{s}$  with  $a^{s}+c_{s}a^{1-s}=0.$
- Parametrize eigenvalues of non-cuspidal discrete spectrum:  $\{\lambda_{s_j}=s_j(s_j-1)\,:\,\alpha^{s_j}+c_{s_j}\alpha^{1-s_j}=0\}_{0\leqslant j\in\mathbb{Z}}$

Key: Eigenfunctions for  $\widetilde{\Delta}_{\theta}$  lie in the non-cuspidal part of  $L^2(X)_{\mathfrak{a}}$ , so have expansions in terms of  $\wedge^{\mathfrak{a}} E_s$ 's.

### Interlacing

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### Theorem (Bombieri-Garrett, Interlacing)

With  $\Theta$ ,  $\theta$ ,  $\widetilde{\Delta}_{\theta}$  and  $\widetilde{\Delta}_{a}$  as above, if a is chosen so that (1) supp( $\Theta$ ) lies below Im(z) = a and (2)  $\theta E_{s_j} \neq 0$  for any j, then, between any two adjacent parameters  $s_{j_1}$  and  $s_{j_2}$  on the critical line there is at most one parameter w corresponding to an eigenvalue  $\lambda_w = w(w-1)$  of  $\widetilde{\Delta}_{\theta}$ .

### Corollary

Let  $\theta = \operatorname{Proj}_{nc} \delta_{\omega}^{afc}$ . Under Montgomery's Pair Correlation Conjecture, at most a proper fraction of the  $\widetilde{\Delta}_{\theta}$ -eigenvalue parameters appear among the zeros of the Riemann zeta function.

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# GL<sub>3</sub> Automorphic L-functions

- GL<sub>3</sub> automorphic L-functions arise as compact periods of GL<sub>3</sub> cuspidal data Eisenstein series.
- Theorem (D.) Vanishing of compact periods of GL<sub>3</sub> cuspidal data Eisenstein series at *w*-values on the critical line corresponding to eigenvalues (if any) of suitable pseudo-Laplacian.
- Theorem (D.) Interlacing with discrete spectrum of pseudo-Laplacian on Lax-Phillips space.
- H<sup>-1</sup>-condition on period distribution: condition on the second moment of period.
- Given suitable moment bound, prove vanishing of  $GL_3$  automorphic L-functions?

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# Quaternion Algebra Zeta Functions

Zeta functions for quaternion algebras also arise as compact periods of Eisenstein series.

Quaternion algebra B over k, split over quadratic  $\ell/k,$ 

- H is copy of  $B^\times$  in  $G=GL_2(\ell)$
- $\Theta_{H}f = \int_{ZH_{k} \setminus H_{\mathbb{A}_{k}}} f(h) dh$

• 
$$\Theta_{\mathrm{H}} \, \mathrm{E}_{\mathrm{s}} = (\mathrm{const}) \times \frac{\xi_{\mathrm{B}}(2\mathrm{s})}{\xi_{\ell}(2\mathrm{s})}$$

Vanishing and interlacing should be accessible using similar methods.

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